JSC "RIETUMU BANKA"
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LV-1013 / LATVIA
REG. No. 40003074497 / RTMBLV2X
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CLIENT CERTIFICATION
OF OBLIGATION TO OBSERVE
NATIONAL AND INTERNATIONAL SANCTIONS

Date ⌞__⌟ / ⌞__⌟ / 20 ⌞__⌟

COMPLETE IN BLOCK CAPITALS

Client _____
(full name)

Passport / Identity document / Registration No. _____ , hereby affirms, confirms and

agrees that within the framework of cooperation with the joint stock company "Rietumu Banka" (hereinafter – the Bank) he/she

undertakes obligations:

1) to meet the legal enactments (including laws, regulations, decisions, resolutions) of the Republic of Latvia, the European Union and the United Nations that include and/or are related to the application of sanctions and other restrictions concerning a range of persons, jurisdictions and territories;

2) to comply with legal enactments of the USA that include and/or are related to to the application of sanctions and other restrictions, in particular, but not limited to, when sending and receiving money transfers in the currency – US dollars, and/or involving the financial system of the USA, when the Client makes transactions and deals with the financial instruments and securities denominated in US dollars and/or issued by the issuers located at the USA and/or traded on the stock exchanges located at the USA and/or which are kept and accounted in a depository located at the USA and/or these financial instruments are in any manner whatsoever related to the USA within the legal enactments of the USA, and/or other transactions in US dollars; as well as by participating in transactions/deals by persons who are Americans within the scope of USA sanctions laws;

3) not to perform activities either intentionally or negligently, either directly or indirectly which violate or which might result in violation of the legal provisions, sanctions and restrictions mentioned in Clause 1 and 2 herein;

4) in the course of the activity either intentionally or negligently, either directly or indirectly not to use and not to allow any third party to use any account of the Client with the Bank so that the Bank in any manner whatsoever may be used or involved in violation of the legal provisions, sanctions and restrictions mentioned in Clause 1 and 2 herein;

5) not to enter, immediately terminate and not to support business relations with the persons who violate or may be involved in violation of the legal provisions, sanctions and restrictions mentioned in Clause 1 and 2 herein;

6) at the first request of the Bank in a form set by the Bank to immediately provide information and documents concerning the Client's business and professional activity, including documents related to the third persons directly or indirectly participating in the Client's transactions.

By signing this Certification the Client recognises and confirms that in the event of violation of the above mentioned clauses of this Certification:

- the Bank is entitled to reject and/or freeze any operations and accounts of the Client with the Bank and immediately, unilaterally and without an approval by the Client, terminate all legal relations with the Client and take other actions stipulated by respective laws and contractual relations between the Bank and the Client;

- the Client is fully legally liable towards the Bank and third parties and undertakes to reimburse the Bank for all damages the Bank incurs due to the Client 's fault as a result of such violations;

- the rights of the Bank mentioned in this Certification supplement other rights of the Bank stipulated by JSC "Rietumu Banka" and Client Agreement and Terms and Conditions of JSC "Rietumu Banka" and Client Agreement, and other contractual relations between the Bank and the Client and do not depend on other provisions of such documents. Failure by the Bank to exercise its rights established under this Certification does not imply the Bank's refusal to exercise such rights.

Client represented by _____
(name, surname)

X _____
(signature)
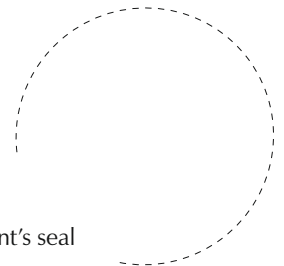
Rietumu ID _____ **Test-key** _____   Client's seal

TO BE COMPLETED BY BANK EMPLOYEE

I confirm the identity and signature of the Client or the Client's Representative

Passport / Identity document No. ⌞__⌟⌞__⌟⌞__⌟⌞__⌟⌞__⌟⌞__⌟⌞__⌟⌞__⌟⌞__⌟⌞__⌟⌞__⌟⌞__⌟

_____
(name, surname)

X _____
(signature)

Date ⌞__⌟ / ⌞__⌟ / 20 ⌞__⌟